

Format of holding of specified securities

Annexure - I

1. Name of Listed Entity: **RSWM LIMITED**
2. Scrip Code/Name of Scrip/Class of Security : **BSE: 500350, NSE: RSWM / EQUITY**
3. Share Holding Pattern Filed under: **Reg. 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending **30th June, 2017**
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

SL NO.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of share holders	No. of fully paid up equities shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. Shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR,1957) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)						(X)	(XI)	(XII)			(XIII)		(XIV)
								No of Voting Rights			Total as a % of (A+B+C)					No. (a)	As a % of total shares held (b)		No. (a)	As a % of total shares held (b)	
								Class eg:Equity	Class eg: Others	Total											
(A)	Promoter & Promoter Group	25	11989722	0	0	11989722	50.91	11989722	0	11989722	50.91	0	50.91	NIL	NIL	11989722					
(B)	Public	15780	11561120	0	0	11561120	49.09	11561120	0	11561120	49.09	0	49.09	NA	NA	10732287					
(C)	Non Promoter- Non Public	0	0	0	0	0		0	0	0		0	0.00	NA	NA	0					
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0					
(C2)	Shares held by Employee Trusts	0	0	0	0	0		0	0	0		0	0.00	NA	NA	0					
	Total	15805	23550842	0	0	23550842	100.00	23550842	0	23550842	100.00	0	100.00			22722009					



(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)		
								No of Voting Rights					Total as a % of (A+B+C)	As a % of (A+B+C2)	No. (a)	As a % of total shares		No. (a)	As a % of total shares
								Class eg: Equity	Class eg: Others	Total									
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)							0		0		0.00							
(b)	Bodies Corporate		3	4934770	0	0	4934770	20.95	4934770	0	4934770	20.95	0	20.95	0	0	0	4934770	
	MICRO BASE LTD.	AAF4793A		3650970			3650970	15.50	3650970		3650970	15.50	0	15.50				3650970	
	MICROLIGHT INVESTMENTS LTD.	AAF4854J		1085000			1085000	4.61	1085000		1085000	4.61	0	4.61				1085000	
	CORN HILL INVESTMENTS LTD.	AADCC1424E		198800			198800	0.84	198800		198800	0.84	0	0.84				198800	
(c)	Institutions			0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	
(d)	Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	
(f)	Any Other (specify)			0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	
	Sub-Total (A)(2)		3	4934770	0	0	4934770	20.95	4934770	0	4934770	20.95	0	20.95	0	0	0	4934770	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		25	11989722	0	0	11989722	50.91	11989722	0	11989722	50.91	0	50.91	0	0	0	11989722	



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. Shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI)	(XII)			(XIII)		
									No of Voting Rights							Total as a % of (A+B+C)	No. (a)		As a % of total shares held (b)	No. (a)	As a % of total shares held (b)
									Class eg: Equity	Class eg: Others	Total										
1	Institutions																				
(a)	Mutual Funds/		14	2692883	0	0	2692883	11.43	2692883	0	2692883	11.43	0	11.43	N.A.	N.A.		2690803			
	IDFC PREMIER EQUITY FUND	AAETS9556K		1560000			1560000	6.62	1560000		1560000	6.62	0	6.62				1560000			
	L&T MUTUAL FUND TRUSTEE LIMITED-L&T EMERGING BUSINESSES FUND	AAATC4460E		558131			558131	2.37	558131		558131	2.37	0	2.37				558131			
	L AND T MUTUAL FUND TRUSTEE LTD-L AND T INDIA SPECIAL SITUATIONS FUND	AAATC4460E		567253			567253	2.41	567253		567253	2.41	0	2.41				567253			
(b)	Venture Capital Funds			0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.		0			
(c)	Alternate Investment Funds			0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.		0			
(d)	Foreign Venture Capital Investors			0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.		0			
(e)	Foreign Portfolio Investors		26	523228	0	0	523228	2.22	523228	0	523228	2.22	0	2.22	N.A.	N.A.		523128			
(f)	Financial Institutions/ Banks		13	49210	0	0	49210	0.21	49210	0	49210	0.21	0	0.21	N.A.	N.A.		48162			
(g)	Insurance Companies		2	191657	0	0	191657	0.81	191657	0	191657	0.81	0	0.81	N.A.	N.A.		191657			
(h)	Provident Funds/ Pension Funds			0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.		0			
(i)	Any Other (specify)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.		0			
	Sub-Total (B)(1)		55	3456978	0	0	3456978	14.68	3456978	0	3456978	14.68	0	14.68	N.A.	N.A.		3453750			
2	Central Government/ State Government(s)/ President of India		2	95482	0	0	95482	0.41	95482	0	95482	0.41	0	0.41	N.A.	N.A.		93750			
	Sub-Total (B)(2)		2	95482	0	0	95482	0.41	95482	0	95482	0.41	0	0.41				93750			
3	Non-institutions												0.00								
	Individuals -												0.00								
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		14480	4389686	0	0	4389686	18.64	4389686	0	4389686	18.64	0	18.64	N.A.	N.A.		3995356			
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		24	1676545	0	0	1676545	7.12	1676545	0	1676545	7.12	0	7.12	N.A.	N.A.		1676545			
	Dolly Khanna	ADOPD7812J		482486			482486	2.05	482486		482486	2.05	0	2.05	N.A.	N.A.		482486			
(b)	NBFCs registered with RBI		1	10			10	0.00	10		10	0.00	0	0.00	N.A.	N.A.		10			
(c)	Employee Trusts			0			0	0.00	0		0	0.00	0	0.00	N.A.	N.A.		0			



(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)		
								No of Voting Rights					Total as a % of (A+B+C)	As a % of (A+B+C2)	No. (a)	As a % of total shares held (b)		No. (a)	As a % of total shares held (b)
								Class eg: Equity	Class eg: Others	Total									
(d) Overseas Depositories (holding DRs) (balancing figure)			0			0	0.00	0		0	0.00	0	0.00	N.A.	N.A.	0			
(e) Any Other (specify)		1218	1942419			1942419	8.25	1942419		1942419	8.25	0	8.25	N.A.	N.A.	1512876			
i Other Bodies Corporate		484	1084117	0	0	1084117	4.60	1084117	0	1084117	4.60	0	4.60	N.A.	N.A.	1075991			
ii NRI with Repatriable		565	312319	0	0	312319	1.33	312319	0	312319	1.33	0	1.33	N.A.	N.A.	309402			
iii NRI without Repatriable		165	127250	0	0	127250	0.54	127250	0	127250	0.54	0	0.54	N.A.	N.A.	127250			
iv Trust		2	233	0	0	233	0.00	233	0	233	0.00	0	0.00	N.A.	N.A.	233			
v Foreign Companies		2	418500	0	0	418500	1.78	418500	0	418500	1.78	0	1.78	N.A.	N.A.	0			
DELTRA LTD	AACCD8434E		390600			390600	1.66	390600	0	390600	1.66	0	1.66			0			
v Cooperative Societies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	N.A.	N.A.	0			
Sub-Total (B)(3)		15723	8008660	0	0	8008660	34.01	8008660	0	8008660	34.01	0	34.01	N.A.	N.A.	7184787			
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		15780	11561120	0	0	11561120	49.09	11561120	0	11561120	49.09	0	49.09	N.A.	N.A.	10732287			
Total (A)+(B)		15805	23550842	0	0	23550842	100.00	23550842	0	23550842	100.00	0	100.00			22722009			

